

SMITH & WILLIAMSON IM LIMITED
REPORT AND FINANCIAL STATEMENTS
for the eighteen months ended
30 April 2013



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COMPANIES HOUSE

Smith & Williamson IM Limited

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Smith & Williamson IM Limited

Directors' report

The directors submit their report together with the financial statements for the eighteen months ended 30 April 2013

Incorporation

The company was incorporated on 7 November 2011

Income statement for the eighteen months ended 30 April 2013

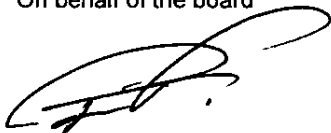
The company did not trade during the period and has made neither profit nor loss, nor any other recognised gain or loss

Directors

The initial directors of the company are listed below Both directors served throughout the period under review

D M Cobb
P L Fernandes

On behalf of the board



P L Fernandes
Director
25 Moorgate,
London,
EC2R 6AY

17 JUL 2013

Smith & Williamson IM Limited

Balance sheet

at 30 April 2013

	At 30 April 2013 £
Assets	
Current assets	
Amounts owed by group undertakings (Parent company - Smith & Williamson Holdings Limited)	1
Total assets	<u>1</u>
Net assets	<u>1</u>
Equity	
Share capital – allotted and fully paid 1 share of £1	1
Total equity	<u>1</u>

The accompanying notes are an integral part of the financial statements

For the eighteen months ended 30 April 2013, the company was entitled to exemption from audit under section 480 of the Companies Act 2006 (the "Act") relating to dormant companies

Directors' responsibilities

- The member has not required the company to obtain an audit of its accounts for the year in question in accordance with section 476, and
- The directors acknowledge their responsibilities for complying with the requirements of the Act with respect to accounting records and the preparation of accounts

The financial statements were approved by the board and authorised for issue on **17 JUL 2013** and signed on its behalf by

Director



Company Registration Number 7837708

Smith & Williamson IM Limited

Notes to the financial statements

for the eighteen months ended 30 April 2013

1 Principal accounting policies

Smith & Williamson IM Limited was incorporated and domiciled in England and Wales on 7 November 2011

The principal accounting policies applied in the preparation of these financial statements are set out below. The financial statements have been prepared under the historical cost convention, in compliance with the requirements of the Companies Act 2006 and in accordance with applicable International Financial Reporting Standards ("IFRS"). There are no accounting policies where the use of assumptions and estimates are determined to be significant to the financial statements.

A statement of comprehensive income, a statement of changes in equity and a statement of cash flows are not presented in these financial statements as these would show nil amounts for the period.

2 Related party transactions

At 30 April 2013, the company's immediate and ultimate parent undertaking and controlling party is Smith & Williamson Holdings Limited, a company incorporated and domiciled in England and Wales. Smith & Williamson Holdings Limited is the parent undertaking of the largest and smallest group of undertakings for which group accounts are drawn up and of which the company is a member.

Copies of the group accounts, which include the results of the company, are available from the Company Secretary, Smith & Williamson Holdings Limited, 25 Moorgate, London EC2R 6AY.

Key management personnel, who are considered to be the directors, provide their services to other entities within the Smith & Williamson group and consider that these services to the company are incidental to their other activities within the group.